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## The efficacy of a verification stage for determining VO<sub>2</sub>max and the impact of sampling time

Emily J. Kontos

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The Efficacy of a Verification Stage for Determining  $VO_{2max}$  and the Impact of Sampling Time

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An Honors College Project Presented to  
The Faculty of the Undergraduate  
College of Health and Behavioral Science  
Department of Kinesiology  
James Madison University

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Emily J. Kontos

April 30, 2020

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Accepted by the faculty of the Kinesiology Department, James Madison University, in partial fulfillment of the requirements for the Honors College.

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**PUBLIC PRESENTATION**

This work was accepted for presentation and displayed at the 2020 ACSM Southeast Conference in Jacksonville, Florida, 15<sup>th</sup> of February, 2020.

## **Dedication**

I dedicate this thesis to all of the amazing friends, students, and professors I have had the privilege to work with in the Kinesiology Department over the past four years at James Madison University.

## **Acknowledgments**

Firstly, I would like to thank Dr. Christopher J. Womack, my thesis advisor and mentor, for his constant support, enthusiasm, and patience over the past three semesters of this project. Without his knowledge, expertise, and passion for kinesiology, I'm not sure if this project would have come to fruition. Through working with him, I have come to develop a greater appreciation of research and have found my own passion for exercise physiology. I will forever be thankful and appreciative of his time and efforts as both a mentor and a professor.

Secondly, I would like to thank both Dr. Stephanie Kurti and Dr. Nick Luden for agreeing to be my readers for this project. Their added advice, expertise, and assistance are greatly appreciated. Without their assistance, this project wouldn't be what it is.

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Finally, I would like to thank my fellow James Madison University kinesiology students and friends. Not only did several friends assist me in running  $VO_{2max}$  tests, they supported me and rooted me on, even when I felt like this project would never be finished. I will forever be grateful for the support of my peers.

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## Abstract

**Purpose:** Verification phases may improve the validity of maximal oxygen uptake ( $\text{VO}_{2\text{max}}$ ) measurements during maximal graded exercise testing (GXT). It is not known whether  $\text{VO}_2$  sampling times influence the necessity of a verification stage. **Methods:** 15 female and 18 male test subjects (18 – 25 y) completed a treadmill incremental GXT. Speed was increased from 3.0 mph by 0.5 mph every minute until 6.0 mph was reached. Elevation was then increased by 3% every minute until volitional fatigue. Subjects then walked for five minutes at 3.0 mph and 0% grade; after which time the verification stage began at the speed and grade corresponding with the penultimate stage and continued until volitional fatigue.  $\text{VO}_{2\text{max}}$  from the incremental GXT ( $\text{iVO}_{2\text{max}}$ ) and  $\text{VO}_{2\text{max}}$  from the verification stage ( $\text{verVO}_{2\text{max}}$ ) were determined using 10 s, 30 s and 60 s averages from the breath x breath measurements. A repeated measures ANOVA was performed with sampling time (10, 30 and 60s) and stage ( $\text{iVO}_{2\text{max}}$ ,  $\text{verVO}_{2\text{max}}$ ) as the within-subject factors. Sensitivity and specificity were calculated for the following criteria from the  $\text{iVO}_{2\text{max}}$  portion of the protocol: plateau ( $< 150$  ml/min increase in  $\text{VO}_2$  over the final 2 stages), and HR + RER (achievement of at least 90% of age-predicted maximal heart rate and  $\text{RER} \geq 1.10$ ). **Results:** There was no main effect for stage, suggesting no differences between  $\text{iVO}_{2\text{max}}$  and  $\text{verVO}_{2\text{max}}$  for 10s ( $47.9 \pm 8.31$  ml/kg/min vs  $48.85 \pm 7.97$  ml/kg/min), 30s ( $46.94 \pm 8.62$  ml/kg/min vs  $47.28 \pm 7.97$  ml/kg/min), and 60s ( $46.17 \pm 8.62$  ml/kg/min vs  $46.00 \pm 8.00$  ml/kg/min) sampling times. There was a main effect for sampling time for  $\text{VO}_{2\text{max}}$  (10s > 30s > 60s,  $P < 0.05$ ). Furthermore, there was a significant ( $P < 0.05$ ) stage x sampling time interaction as the difference between  $\text{iVO}_{2\text{max}}$  and  $\text{verVO}_{2\text{max}}$  was greater for 10s than 60s sampling times.  $\text{verVO}_{2\text{max}}$  was considered to be higher if it exceeded  $\text{iVO}_{2\text{max}}$  by more than 2%, as suggested by Midgley et al<sup>10</sup>. This was seen in 62%, 41%, and 31% of the tests for the 10s, 30s and 60s sampling times respectively. Both sensitivity and specificity for the plateau criteria was under 45% for all sampling times. Sensitivity of using HR + RER was above 80% for all sampling times and specificity was under 30%.

**Conclusions:** A verification stage yields a higher  $\text{VO}_{2\text{max}}$  in a large proportion of tests and the effectiveness of the verification stage may be more important with shorter sampling times. A plateau for determining the achievement of  $\text{VO}_{2\text{max}}$  during an incremental test has poor sensitivity and specificity and the use of HR + RER criteria exhibits poor specificity.

**Keywords:**  $\text{VO}_{2\text{max}}$  testing, verification stage,  $\text{VO}_{2\text{max}}$  criteria specificity,  $\text{VO}_{2\text{max}}$  criteria sensitivity, sampling time

## Chapter I.

### Introduction

For decades, cardiorespiratory fitness has been determined through maximal oxygen consumption ( $\text{VO}_{2\text{max}}$ ) testing.  $\text{VO}_{2\text{max}}$ , determined via graded exercise tests, has been associated with long-term health outcomes<sup>19</sup>, including mortality, cardiovascular disease risk<sup>26</sup>, and other pathological conditions that impact the pulmonary, cardiovascular, and muscular systems<sup>21</sup>.  $\text{VO}_{2\text{max}}$  values have also been linked to incidences of chronic heart disease, diabetes, and HIV-AIDS<sup>21</sup>. Ever since its development in 1923<sup>27</sup>, the  $\text{VO}_{2\text{max}}$  graded exercise test (GXT) has been utilized often and is a commonly measured variable. However, despite its widespread use, there still lacks a standardization for criteria used to verify that test subjects have reached their true  $\text{VO}_{2\text{max}}$ .

Since its development by Hill and Lupton<sup>9</sup>,  $\text{VO}_{2\text{max}}$  testing protocols have varied in stage length, stage variability, and test length<sup>27</sup>. Traditionally, a plateau in  $\text{VO}_2$  at the end of a GXT has been the primary criteria for confirming the achievement of  $\text{VO}_{2\text{max}}$ . A plateau is generally defined as a period at the end of the test in which there is little to no increase in  $\text{VO}_2$  despite an increased work rate. However, the specific criteria vary from as little as an increase  $<54$  mL/min to  $<2.1$  mL/kg/min<sup>10</sup>. The most common  $\text{VO}_{2\text{max}}$  plateau criterion stipulates an increase  $< 150$  mL/min, which was developed in 1955 by Taylor et al<sup>10</sup>. However, the effectiveness of this value may be specific to the test protocol and the subject pool size used<sup>10</sup>. Additionally, unless an absolute plateau (i.e. no increase in  $\text{VO}_2$  whatsoever) is employed, utilization of other criteria will only show that the rate of change between  $\text{VO}_2$  and work-rate has slowed. It will not be an indication that a  $\text{VO}_{2\text{max}}$ , per se, has been achieved<sup>16</sup>. The incidence of a plateau has been reported as low as 33% and as high as 94% in subjects, depending on the criterion used, the test protocol, and the test subjects<sup>27</sup>. Other literature reports that a plateau occurs  $\geq 40\%$  of healthy test subjects, and likely occurs less often in clinical populations<sup>21</sup>.

Therefore, in the absence of the occurrence of a  $\text{VO}_{2\text{max}}$  plateau, other secondary criteria have been used in order to verify that  $\text{VO}_{2\text{max}}$  was achieved.

Currently there is no standardization of secondary criteria. As a result, both the variables and the critical value for those variables vary<sup>16</sup>. Common secondary criteria include a heart rate  $\geq 10$  bpm or  $\leq 5\%$  of age-predicted maximal heart rate (220 bpm - age of test subject), a blood lactate concentration  $\geq 8$  mM, and/or an RER (respiratory exchange rate) between 1.0-1.44<sup>21</sup>. However, these criteria are selected arbitrarily and without the support of research<sup>21</sup>. Several studies have found them to be unreliable, as many of the test subjects will fulfill one or more of the secondary criteria during submaximal tests<sup>16, 17</sup>. As a result, implementing these criteria can result in an underestimation of  $\text{VO}_{2\text{max}}$  data by 30-40%<sup>21</sup>. Furthermore, testing protocols often vary with respect to stage length, magnitude of work rate increase during each stage, and test duration<sup>27</sup>, thus making the efficacy of primary and secondary criteria across all protocols questionable<sup>16</sup>.

Therefore, improvement in the methodology of  $\text{VO}_{2\text{max}}$  testing and/or criteria that are used are warranted. Recently, verification stages have been suggested as a method to improve the validity of  $\text{VO}_{2\text{max}}$  testing. These verification stages are an exercise stage performed after the incremental, maximal test to exhaustion. Their intensities range from submaximal efforts to supramaximal efforts following a recovery period lasting between five and 15 minutes<sup>24</sup>. Currently, verification stages are used infrequently, and the specific details of what an appropriate verification stage entails have not been fully evaluated. Furthermore, it is not definitively known if the verification stage is noticeably better than using traditional criteria.

Several studies have found that when a subject completes a  $\text{VO}_{2\text{max}}$  test, a subsequent verification stage results in subjects either reaching or exceeding the stage in which they originally stopped the test<sup>13, 17, 7</sup>. Foster et al., had test subjects perform both a cycling GXT and a treadmill GXT. Once they achieved volitional exhaustion during the continuous portion of the GXT, they were given a

rest period followed by a supramaximal verification stage. This study found that the  $VO_2$  values achieved during the verification stage was not significantly different than the values observed during the continuous GXT for both running and cycling. Furthermore, during verification stages, subjects attain HR and RER values comparable or higher than during the original GXT test<sup>17, 7</sup>. Thus, Midgley et al.<sup>16</sup> have argued that use of a verification stage increases the probability that  $VO_{2max}$  is achieved during the test, as it provides an additional opportunity to reach the limits of oxygen uptake. Practically speaking a verification stage will either verify attainment of  $VO_{2max}$  during the GXT or result in a higher measurement for  $VO_{2max}$ .

Mier and colleagues had 35 male and female college athletes complete a treadmill GXT test. Ten subjects who did not exhibit a  $VO_{2max}$  plateau during the continuous GXT completed a supramaximal verification stage.  $VO_{2max}$  values from the verification stage were not significantly different than  $VO_{2max}$  values from the GXT. Additionally, four out of the 10 subjects achieved a higher  $VO_{2max}$  in the verification stage. These findings support the effectiveness of a verification stage to verify or correct  $VO_{2max}$  measurements from a GXT. They also illustrate that a means comparison between  $VO_{2max}$  values from the GXT and the verification stage is not enough to fully determine the utility of a verification stage. The fact that 40% of the subjects did not achieve  $VO_{2max}$  in the GXT would likely be concerning for any lab, but this is not illuminated by simply showing a lack of difference in mean values. It was also suggested that HR and RER criteria are ineffective in verifying whether a  $VO_{2max}$  was achieved, as common secondary criteria were often achieved at a submaximal effort<sup>17</sup>.

Another investigation conducted by Foster and colleagues had physically active, non-athletes complete a cycling incremental exercise test. After the test, a one-minute recovery phase was performed, followed by a verification stage at a higher power output than achieved during the GXT. Only subjects exhibiting a plateau during the GXT were included in the data analysis to ensure that

$\text{VO}_{2\text{max}}$  was actually achieved during that portion of the test. The verification stage resulted in the same average  $\text{VO}_{2\text{max}}$  values, suggesting that true  $\text{VO}_{2\text{max}}$  values are achieved during a verification stage<sup>7</sup>. This same study observed similar findings in runners performing a treadmill GXT<sup>7</sup>.

Higher  $\text{VO}_{2\text{max}}$  plateau incidences have been observed for 11 and 15 seconds compared to 30 second sampling averages for breath by breath  $\text{VO}_2$  measurements<sup>2</sup>. Furthermore, 15 and 30s intervals have shown to result in higher  $\text{VO}_{2\text{max}}$  values than 60s intervals<sup>1, 23</sup>. Therefore, the need for a verification stage may depend upon the duration of the sampling time. It is not known if use of different sampling times impacts the effectiveness of traditional primary and secondary criteria for determining  $\text{VO}_{2\text{max}}$  from a GXT. The use of a verification stage to evaluate the relative sensitivity and/or specificity of common criteria used for  $\text{VO}_{2\text{max}}$  testing is limited in the literature. Bhammar et al. found that there was a low sensitivity and low specificity for traditional  $\text{VO}_2$  criteria. However, this study was performed with a limited number of test subjects and the test subjects were children. Thus, these findings are limited in their generalizability<sup>4</sup>. The purposes of this present study were to: 1) determine the influence of sampling time on the efficacy of a verification stage; and 2) to determine the sensitivity and specificity of primary and secondary  $\text{VO}_{2\text{max}}$  test criteria. It is hypothesized that a verification stage  $\text{VO}_{2\text{max}}$  will exceed the  $\text{VO}_{2\text{max}}$  reached in an incremental, graded exercise test in proportion to sampling time and that traditional primary and secondary criteria for achievement of  $\text{VO}_{2\text{max}}$  will exhibit poor sensitivity and specificity regardless of sampling time.

## Chapter II.

### Methods

**Subjects:** This study will evaluate 29 test subjects (14 men, 15 women). All test subjects will be between 18-30 years of age upon the day of testing (men =  $21.5 \pm 1.2$  yr, women =  $21.1 \pm 1.2$  yr). Before testing, subjects will complete a health questionnaire and will have no known CV, metabolic, or renal disease. Additionally, test subjects will have no known injuries or other health concerns that would preclude them from exercise or limit their ability to perform a maximal exercise bout.

**Treadmill Test:** All subjects will be monitored for oxygen uptake ( $\text{VO}_2$ ) and respiratory exchange ratio (RER) with a Vmax metabolic cart (CareFusion; San Diego, CA) throughout the duration of the test. A Polar heart rate monitor will be utilized to measure heart rate. After an initial stage at 3.0 mph and 0% grade, the treadmill speed will be increased by 0.5 mph each minute until a speed of 6.0 mph is achieved. After this, the elevation will be increased by 3% every minute until volitional exhaustion. Subjects will then be allowed to walk for 5 minutes at 3.0 mph and 0% grade. After this rest period, the verification stage will be initiated by increasing the speed and grade to the values of the stage preceding the test subject's prior maximal effort. The test will then proceed as described previously until volitional exhaustion.

**Statistical Analyses:** A repeated measure of analysis of variance will be performed with within-subjects factors of stage ( $i\text{VO}_{2\text{max}}$ ,  $\text{verVO}_{2\text{max}}$ ) and sampling time (10 seconds, 30 seconds, and 60 seconds). Post-hoc tests will be performed using pairwise comparisons in SPSS with a Bonferroni correction for main effects. For the interaction effect, paired t-tests with a Bonferroni correction will be performed on the difference between  $i\text{VO}_{2\text{max}}$  and  $\text{verVO}_{2\text{max}}$  for each sampling time. For all three sampling times, sensitivity and specificity of the following criteria for  $\text{VO}_{2\text{max}}$  will be calculated:

plateau ( $< 150$  ml/min increase in  $VO_2$  over the final 2 stages), HR (achievement of at least 90% of age-predicted maximal heart rate), and RER ( $\geq 1.10$ ).  $verVO_{2max}$  will be considered higher if it exceeds  $iVO_{2max}$  by more than 2% as suggested by Midgley et al (Midgley, et al., 2007). Sensitivity will be calculated by taking the number of True Positives (Criteria indicates  $VO_{2max}$  and  $iVO_{2max}$  is within 2% of  $verVO_{2max}$ ) divided by True Positives plus False Negatives (Criteria not achieved and  $iVO_{2max}$  is within 2% of  $verVO_{2max}$ ). Specificity will be determined by the number of True Negatives (Criteria not achieved and  $verVO_{2max}$  greater than 2% higher than  $iVO_{2max}$ ) divided by the number of True Negatives plus False Positives (Criteria achieved but  $verVO_{2max}$  greater than 2% higher than  $iVO_{2max}$ ).

## Chapter III.

### Manuscript

#### *Introduction*

For decades, cardiorespiratory fitness has been determined through maximal oxygen consumption ( $VO_{2max}$ ) testing. Despite its widespread use, standard criteria used to verify that true  $VO_{2max}$  is reached are lacking. It has been suggested that common primary and secondary criteria are ineffective and vary by too large a margin from person to person to be applied universally<sup>10, 13</sup>. A verification stage completed after a continuous GXT has been shown to elicit similar values to those achieved in a GXT, and thus can act as a way to verify that test subjects have achieved their true  $VO_{2max}$ <sup>6</sup>.

Several studies have found that when a subject completes a  $VO_{2max}$  test, a subsequent verification stage results in subjects either reaching or exceeding the stage in which they originally stopped the test<sup>6, 9, 11</sup>. Furthermore, during verification stages, subjects often attain both HR and RER values similar to or higher than during the original GXT test. Mier and colleagues investigated whether it was necessary to employ a supramaximal verification stage in college athletes who did not achieve a  $VO_{2max}$  plateau during a GXT. Researchers found that the  $VO_{2max}$  values from the verification stage were not significantly different than the  $VO_{2max}$  values from the continuous GXT<sup>11</sup> indicating that similar  $VO_2$  is achieved in the short time period that comprises the verification stage. Similarly, Foster and colleagues had test subjects perform a cycling GXT test, followed by a supramaximal verification stage. This verification stage resulted in the same average  $VO_{2max}$  values as the continuous GXT, suggesting true  $VO_{2max}$  values can be achieved during a verification stage<sup>6</sup> and that verification stages can be used to verify whether a test subject achieved a maximal value in their test. This same study observed similar findings in runners who performed a treadmill GXT<sup>6</sup>. Furthermore, Midgley et al., have argued the use of a verification stage will increase the probability  $VO_{2max}$  is achieved during the

test, as it provides an additional opportunity for the test subject to reach the upper limits of their oxygen uptake. Practically speaking, a verification stage will either verify the attainment of a  $VO_{2max}$  during the GXT or will result in a higher measurement for  $VO_{2max}$ .

Higher incidences of a plateau during  $VO_{2max}$  testing have been observed for 11- and 15-seconds sampling times when compared to 30 second sampling averages for breath by breath  $VO_2$  measurements<sup>2</sup>. Furthermore, 15 and 30s intervals have shown to result in higher  $VO_{2max}$  values than 60s interval<sup>1,14</sup>. Finally, one of the issues that could affect the efficacy of a verification stage is that subjects could fatigue quickly due to prior activity and not enough time would be provided for  $VO_2$  to reach  $VO_{2max}$ . It could be that the use of shorter sampling times would limit this concern, as the subject would only need to reach  $VO_{2max}$  for a shorter window of time. Thus, a greater portion of people would potentially exceed the highest  $VO_2$  achieved during the GXT. Therefore, the need for a verification stage may depend upon the duration of the sampling time.

Despite the widespread use of  $VO_{2max}$  testing, there is limited data in the literature assessing the sensitivity and specificity of traditional criteria. Bhammar et al. found poor sensitivity and specificity for traditional  $VO_2$  criteria. However, this study was performed with a limited number of test subjects and the test subjects were children. Thus, these findings are limited in their generalizability<sup>4</sup>. Furthermore, it is not known if the use of different sampling times will impact the effectiveness of traditional primary and secondary criteria for determining  $VO_{2max}$  from a continuous GXT. It is important to study the sensitivity and specificity of  $VO_{2max}$  criteria as Poole and Jones have suggested that by increasing the use of secondary criteria may lead to an increase in the likelihood of both false negatives and false positives<sup>13</sup>. Additionally, the use of sensitivity and specificity to assess the suitability of primary and secondary criteria for  $VO_{2max}$  testing would improve the objective evaluation of these criteria as they measure the degree to which false negatives, as well as false positives, occur.

The purposes of this present study were to: 1) determine the influence of sampling time on the efficacy of a verification stage; and 2) to determine the sensitivity and specificity of primary and secondary  $\text{VO}_{2\text{max}}$  test criteria. It is hypothesized that verification stage  $\text{VO}_{2\text{max}}$  will exceed the  $\text{VO}_{2\text{max}}$  reached in an incremental, graded exercise test in proportion to sampling time and that traditional primary and secondary criteria for achievement of  $\text{VO}_{2\text{max}}$  will exhibit poor sensitivity and specificity regardless of sampling time.

### ***Methods***

**Subjects:** This study evaluated 29 test subjects (14 men, 15 women) with an average age of  $21.3 \pm 1.2$  yr. Before testing, subjects completed a health questionnaire and had no known CV, metabolic, or renal diseases. Additionally, test subjects had no known injuries or other health concerns that would preclude them from exercise, or limit their ability to perform a maximal GXT. Height and weight were measured on the day of testing (men =  $179.1 \pm 8.5$  cm,  $80.9 \pm 10.4$  kg, women =  $160.3 \pm 5.4$  cm,  $60.3 \pm 5.4$  kg) before the  $\text{VO}_{2\text{max}}$  treadmill test.

**Treadmill Test:** All subjects were monitored for oxygen uptake ( $\text{VO}_2$ ) and respiratory exchange ratio (RER) with a VMax metabolic cart (CareFusion; San Diego, CA) throughout the duration of the test. A Polar heart rate monitor (Lake Success, NY) was utilized to measure heart rate throughout the test. After an initial stage at 3.0 mph and 0% grade, the treadmill speed was increased by 0.5 mph each minute until a speed of 6.0 mph was achieved. After this, the incline of the treadmill was increased by 3% every minute until volitional exhaustion. Subjects were then allowed to walk for 5 minutes at a speed 3.0 mph and a 0% grade. After this rest period, the verification stage was initiated by increasing the speed and grade to the values of the stage preceding the test subject's prior maximal effort. The test then proceeded as described previously until volitional exhaustion.

**Statistical Analyses:** A repeated measure of analysis of variance was performed with within-subjects' factors of stage (iVO<sub>2max</sub>, verVO<sub>2max</sub>) and sampling time (10 seconds, 30 seconds, and 60 seconds). Post-hoc tests were performed using pairwise comparisons in SPSS. For the interaction effect, paired t-tests with a Bonferroni correction were performed on the difference between iVO<sub>2max</sub> and verVO<sub>2max</sub> for each sampling time. For all three sampling times, sensitivity and specificity of the following criteria for VO<sub>2max</sub> were calculated: plateau (< 150 ml/min increase in VO<sub>2</sub> over the final 2 stages), HR + RER (achievement of at least 90% of age-predicted maximal heart rate and RER ≥ 1.10). verVO<sub>2max</sub> was considered to be higher if it exceeded iVO<sub>2max</sub> by more than 2% as suggested by Midgley et al<sup>10</sup>. Sensitivity was calculated by taking the number of True Positives (Criteria indicates VO<sub>2max</sub> and iVO<sub>2max</sub> is within 2% of verVO<sub>2max</sub>) divided by True Positives plus False Negatives (Criteria not achieved and iVO<sub>2max</sub> was within 2% of verVO<sub>2max</sub>). Specificity was determined by the number of True Negatives (Criteria not achieved and verVO<sub>2max</sub> greater than 2% higher than iVO<sub>2max</sub>) divided by the number of True Negatives plus False Positives (Criteria achieved but verVO<sub>2max</sub> greater than 2% higher than iVO<sub>2max</sub>).

## **Results**

Table 1 shows the effect of sampling time on average values for VO<sub>2max</sub>. As sampling time increased from 10 seconds to 60 seconds, average VO<sub>2max</sub> values significantly (P < 0.05) decreased (10s > 30s > 60s). The difference between verVO<sub>2max</sub> and iVO<sub>2max</sub> was greater for the 10 second sampling time than for the 60 second sampling time.

Table 2 shows the sensitivity and specificity for VO<sub>2max</sub> plateau. Sensitivity for the incidence of a plateau for VO<sub>2max</sub> was ≤ 30% for 10-, 30-, and 60-second sampling times. Specificity was < 45% for all three sampling times.

Table 3 demonstrates the sensitivity and specificity of HR + RER secondary criteria. Sensitivity for HR + RER was > 84% for 10-, 30-, and 60-second sampling times. The highest sensitivity was observed with the 30-second sampling time. For all three sampling times, specificity was < 28%.

### ***Discussion***

In this study, we observed shorter sampling times resulted in higher  $VO_{2max}$  values when compared to longer sampling times. In support of this, Astorino et al. found that as the sampling time decreased, both  $VO_{2max}$  and the incidence of a plateau increased<sup>1</sup>. Our data also suggest there is a greater need for verification stages when shorter sampling times are implemented. The duration of our verification stage was typically one to two minutes. Because  $VO_2$  is increasing at the onset of a verification stage, it is possible the 60s sampling time includes several data points that do not reflect the steady-state  $VO_2$  associated with the work rate and thus fails to deliver an average  $VO_2$  that truly reflects  $VO_{2max}$ . This concern becomes even greater if longer sampling times are used. However, it should also be realized that sampling variability has a greater impact with shorter sampling times. For example, an aberrant data point would have a larger influence on the average of 10 breaths than the average of 60 breaths.

Similar to the present study, several studies have found verification stages yield  $VO_{2max}$  values comparable to those achieved during a continuous GXT. Foster et al. observed similar values for  $VO_{2max}$  in a verification stage and during GXT's in which a plateau was evident. This was true for both treadmill and cycling tests<sup>6</sup>. Midgley et al. found no statistically significant differences between  $VO_{2max}$  values during a running GXT and a verification stage<sup>9</sup>. Therefore, it appears verification stages are effective at confirming  $VO_2$  values achieved during a GXT. In the current study, the verification stage resulted in a higher  $VO_{2max}$  in 31-62% of our tests (depending on the sampling time), which is perhaps more meaningful than the observation of similar aggregate values for  $iVO_{2max}$  and  $verVO_{2max}$ .

Practically speaking, this represents a relatively substantial portion of  $\text{VO}_{2\text{max}}$  tests and illustrates the utility of verification stages beyond the need to simply confirm the value from the GXT.

We observed poor sensitivity and specificity for the use of a  $\text{VO}_2$  plateau for confirming  $\text{VO}_{2\text{max}}$  was achieved during the GXT. This finding was not impacted substantially with sampling duration. We expected there would not be a high degree of sensitivity for a plateau as it occurs only 15% of the time in non-athletes and ~50% of the time in athletes<sup>6</sup>. Howley et al. proposed these numbers may be even lower as children, sedentary, and elderly populations have a harder time achieving a plateau, especially as cut-offs for  $\text{VO}_2$  changes to indicate a plateau compose a large portion of their  $\text{VO}_{2\text{max}}$ <sup>8</sup>. However, the finding of low specificity was surprising as it suggests a high number of test subjects that exhibit a plateau do not actually achieve a  $\text{VO}_{2\text{max}}$  during the incremental GXT. Few studies have evaluated the sensitivity and specificity of a plateau. Bhammar and colleagues found similar values for sensitivity and specificity for plateau as the current study in nonobese and obese children (22 and 44% respectively). Glassford et al. compared  $\text{VO}_{2\text{max}}$  values with various cut-off values of 150 mL/min<sup>16</sup>, 54 mL/min<sup>3</sup>, and 80 mL/min<sup>12</sup>. They found no difference in  $\text{VO}_{2\text{max}}$  values between the treadmill tests. However, even though criteria for the plateaus was met, some subjects still experienced a significant increase in  $\text{VO}_{2\text{max}}$  when given a higher work rate<sup>7,8</sup>. Therefore, the incidence of a plateau, as traditionally employed, may not be a good criterion to validate  $\text{VO}_{2\text{max}}$  measurements.

Our data showed a high sensitivity and low specificity for the use of combined HR + RER criteria, which did not appear to substantially change with sampling time. This suggests reaching these criteria is a common occurrence. However, these secondary criteria were ineffective at parsing out those who did not achieve  $\text{VO}_{2\text{max}}$ . Multiple studies have described flaws with secondary criteria. Howley et al. found that not all test subjects are able to achieve RER criteria, especially in young and elderly populations<sup>8</sup>. They also suggested the large standard deviations associated with

estimated maximal  $HR_{max}$  values may limit the success of heart rate values as secondary criteria<sup>8</sup>.

Poole & Jones stated age-predicted  $HR_{max}$  has a confidence interval of  $\pm 35$  bpm and also reported that it had been recorded as high as  $\pm 45$  bpm in some studies<sup>13</sup>. Cumming and Borysyk also concluded that the heart rate range for a maximal heart rate in test subjects is too wide and too large of an inter-subject variability exists for HR values to be used as a criterion for  $VO_{2max}$ <sup>5</sup>. Therefore, the secondary criteria as employed in the current study also appear to be ineffective for validating  $VO_{2max}$ .

This study had a few limitations, such as a relatively small sample size. In particular, a larger sample size might have provided more precision for the sensitivity and specificity measurements. Post-hoc power calculations suggest having a higher number of test subjects could contribute to detecting a main effect for stage, as the power was 0.10. Additionally, it is possible that the use of a plateau or the use of HR + RER values (secondary criteria) are good for identifying  $VO_{2max}$  but we failed to identify the right cut-off values for these criteria. However, the specific primary and secondary criteria were chosen because they are commonly used in research. Midgley et al. identified that the most common secondary criteria include achieving a HR value of 95% age-predicted heart rate and an RER value of equal to or  $> 1.15$ <sup>10</sup>. Furthermore, it was observed that the plateau criteria used in the present study is the one most commonly utilized in the literature<sup>10</sup>. Thus, our findings show common criteria that would be considered acceptable in the literature have poor sensitivity and/or specificity. The characteristics of an optimal verification stage are currently unknown. However, the protocol employed in the current study with respect to both the recovery time and the intensity is within the suggested ranges<sup>15</sup>. Improvements in the verification stage protocol would likely lead to an increased  $verVO_{2max}$  and would result in an even greater proportion of tests that required a verification stage and even worse sensitivity/specificity for traditional criteria.

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## Tables and Figures

**Table 1.** Average ( $\pm$  SD)  $iVO_{2max}$  and  $verVO_{2max}$  along with the percentage of tests in which  $verVO_{2max} > iVO_{2max}$ . \*-Main effect for sampling time (10s > 30s > 60s,  $P < 0.05$ ), †-Significant stage x sample time interaction ( $verVO_{2max} - iVO_{2max}$  for 10s > 60s,  $P < 0.05$ )

Sampling Time*	$iVO_{2max}$ (ml/kg/min)	$verVO_{2max}$ (ml/kg/min)	$verVO_{2max} > iVO_{2max}$ (%)†
10s	$47.9 \pm 8.31$	$48.85 \pm 7.97$	62%
30s	$46.94 \pm 8.62$	$47.28 \pm 7.97$	41%
60s	$46.17 \pm 8.62$	$46.00 \pm 8.00$	31%

**Table 2.** Sensitivity and specificity of  $\text{VO}_{2\text{max}}$  primary criteria (plateau).

	10s	30s	60s
Sensitivity	18.2	23.5	30
Specificity	38.9	33.3	44.4

**Table 3.** Sensitivity and specificity of secondary criteria (HR + RER).

	10s	30s	60s
Sensitivity	90.9	100	84.2
Specificity	17.7	27.3	11.1

**Appendix A**  
Informed Consent Form

## **Consent to Participate in Research**

### **Identification of Investigators & Purpose of Study**

You are being asked to participate in a research study conducted by Emily Kontos and Chris Womack from James Madison University. The purpose of this study is to determine whether a post-test verification stage will improve the determination of maximal oxygen consumption. This study will contribute to completion of Emily Kontos' Honors Thesis.

### **Research Procedures**

Should you decide to participate in this research study, you will be asked to sign this consent form once all your questions have been answered to your satisfaction. In addition, you will be asked to complete a health history questionnaire that includes your known history of disease, medical procedures, and medications. This study consists of one visit to the Human Performance Laboratory in Godwin Hall, Room 209. You will perform a treadmill test that allows us to determine the maximal amount of oxygen that your body is capable of using (VO<sub>2</sub>max). This is a good evaluation of your cardiovascular system's ability to supply blood to your working muscles. For this visit, you will be asked to refrain from eating or drinking anything except water for three hours prior to the test.

During the test, you will begin walking on a treadmill at 3.0 miles/hour. The speed of the treadmill will increase every minute until you reach 6.0 miles/hour. After that, the elevation (grade) of the treadmill will increase by 3% per minute until you indicate that you can no longer continue. After a 5-minute rest, you will resume the test at the intensity that preceded your highest intensity achieved during the test. We will continue the test in the same manner until you indicate that you can no longer continue. Throughout the test, you will be breathing through a mouthpiece so that we can collect and analyze your expired air for oxygen content. You will also wear a strap around your chest so that we can monitor your heart rate.

### **Time Required**

Your participation will require one session that will take about 45 minutes.

### **Risks**

Research on risk of exercise testing has suggested that approximately six cardiac events occur for every 10,000 exercise tests. The risk of death is even less, with a rate of approximately one death per 1,000,000 tests. This is likely to be even less in college-aged individuals. In the unlikely event of an event, at least one investigator will be CPR-trained at every test.

### **Benefits**

Potential benefits from participation in this study include feedback on your current level of cardiorespiratory fitness. In addition to your actual scores, you will be given established norms for both fitness-related variables.

### **Confidentiality**

The results of this research will be presented at relevant regional and national/international conferences. Our findings will also be published in relevant research journals and/or books in the field of exercise science. The results of this project will be coded in such a way that your identity will not be attached to the final form of this study. The researcher retains the right to use and publish non-identifiable data. While individual responses are confidential, aggregate data will be presented representing averages or generalizations about the responses as a whole. All data will be stored in a secure location accessible only to the researcher. Upon completion of the study, all information that matches up individual respondents with their answers will be destroyed.

### **Participation & Withdrawal**

Your participation is entirely voluntary. You are free to choose not to participate. Should you choose to participate, you can withdraw at any time without consequences of any kind.

### **Questions about the Study**

If you have questions or concerns during the time of your participation in this study, or after its completion or you would like to receive a copy of the final aggregate results of this study, please contact:

Emily Kontos

Christopher Womack

Department of Kinesiology

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### **Questions about Your Rights as a Research Subject**

Dr. Taimi Castle

Chair, Institutional Review Board

James Madison University

(540) 568-5929 castletl@jmu.edu

## **Giving of Consent**

I have read this consent form and I understand what is being requested of me as a participant in this study. I freely consent to participate. I have been given satisfactory answers to my questions. The investigator provided me with a copy of this form. I certify that I am at least 18 years of age.

---

Name of Participant (Printed)

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Name of Participant (Signed) Date

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**Appendix B**  
VO<sub>2</sub>max Data Sheet

Subject #-

Temp-

Date-

Rh-

Height (cm)-

Pb-

Weight (kg)-

Region %Fat-

BMD t-score-

Age-

Time	Speed (mph)	Elevation (%)	HR	RPE	VO <sub>2</sub> (L/min)
1	3.0	0			
2	3.5	0			
3	4.0	0			
4	4.5	0			
5	5.0	0			
6	5.5	0			
7	6.0	0			
8	6.0	3			
9	6.0	6			
10	6.0	9			
11	6.0	12			
12	6.0	15			
13	6.0	18			
14	6.0	21			
15	6.0	24			

Exercise test duration:

Difference between VO<sub>2</sub> in last 2 full stages:

Validation Stage

Time	Speed	Elevation	VO <sub>2</sub>

Validation Stage duration:

VO<sub>2max</sub> from validation stage? (Y/N):

MAX HR	MAX VO <sub>2</sub> (L/min)	MAX RQ	MAX RPE

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